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Case No. 5200

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/715,323  
Applicant(s) : Yuandan Lou et al.  
Filed : November 17, 2003  
TC/A.U. : 2673  
Examiner : To be assigned  
For : **MANAGEMENT OF STORAGE  
SPACE FOR AN EMBEDDED  
DATABASE IN A SOFTWARE  
SYSTEM**  
Customer No. : 22896

Confirmation No.: 5097

CERTIFICATE OF MAILING

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Date of Deposit: July 21, 2005

Signature: Chen Gomez

Type Name of Depositing Party: Chen Gomez

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Commissioner for Patents  
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Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information (listed on the attached Form PTO/SB/08A) of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56.

This Information Disclosure Statement:

- (a) ☐ accompanies a new patent application submitted herewith.
- (b) ☐ is filed within three months after the filing date of the application or within three months after the date of entry of the national stage of a PCT application as set forth in 37 CFR §1.491.
- (c) ☒ as far as is known to the undersigned, is filed before the mailing date of a first Office action on the merits.

- (d) ☐ as far as is known to the undersigned, is filed before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114.
- (e) ☐ is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final action under §1.113, a notice of allowance under §1.311, whichever occurs first, and is accompanied by either the fee (\$180) set forth in 37 CFR §1.17(p) or a certification as specified in 37 CFR §1.97(e), as checked below. Should any fee be due, the U.S. Patent and Trademark Office is hereby authorized to charge Deposit Account No. 01-2213 in the amount of \$180.00 to cover the cost of this Information Disclosure Statement. Any deficiency or overpayment should be charged or credited to this deposit account. **A duplicate of this sheet is enclosed.**
- (f) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, but on or before the payment of the issue fee, and is accompanied by the fee (\$180) set forth in 37 CFR §1.17(i)(1) **and** a certification as specified in 37 CFR §1.97(e), as checked below. **This document is to be considered as a petition requesting consideration of the information disclosure statement.** The U.S. Patent and Trademark Office is hereby authorized to charge Deposit Account No. 01-2213 in the amount of \$180.00 to cover the cost of this Information Disclosure Statement. Any deficiency or overpayment should be charged or credited to this deposit account. **A duplicate of this sheet is enclosed.**

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- ☐ Each item of information contained in the information disclosure statement was first cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this information disclosure statement.

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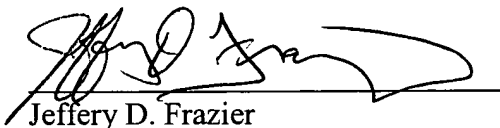
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In accordance with 37 CFR §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR §1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR §1.98 and MPEP §609 and the Examiner is respectfully requested to consider the listed references.

Respectfully submitted,

Date: \_\_\_\_\_

July 20, 2004



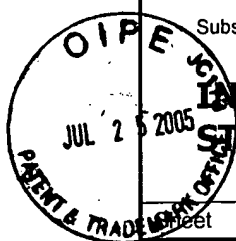
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

### Complete if Known

Application Number	10/715,323
Filing Date	November 17, 2003
First Named Inventor	Yundan Lou et al.
Group Art Unit	2673
Examiner Name	To be assigned
Attorney Docket No.	5200

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	01	6,092,163		Kyler et al.	07-18-2000	
	02	6,538,668	B1	Lagueux, Jr.	03-25-2003	
	03	2002/0023156	A1	Chujo et al.	02-21-2002	

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	01	EP	0 935 186	A1	NCR International, Inc.	08-11-1999		

### OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	01	Compaq Computer Corporation, "Chapter 6: Managing the Advanced File System with the AdvFS GUI," Tru64 Unix AdvFS Administration Online, pages 1-17, August 2000. URL: <a href="http://www.zdv.uni-mainz.de/cms-extern/DU_5.1/HTML/ARH96BTE/CHPTRSXX.HTM">www.zdv.uni-mainz.de/cms-extern/DU_5.1/HTML/ARH96BTE/CHPTRSXX.HTM</a>	
	02	"Hosting Microsoft SQL Databases," Featuredhost, Control Panel Guides, End User CP Guides, pages 1-4, 2002. URL: <a href="http://www.featuredhost.com/cpguide/mssql_support.html">www.featuredhost.com/cpguide/mssql_support.html</a>	
	03	ELLIS, Byron, "Macroarray: An Embedded Database for Microarray Analysis," Computer Science 265 Final Project, Department of Statistics, Harvard University, pages 1-14.	
	04	KING, Nelson, "One Size Doesn't Fit All," ComputerUser.com, pages 1-3, March 1, 2003. <a href="http://www.computeruser.com/articles/2203,1,3,1,0301,03.html">www.computeruser.com/articles/2203,1,3,1,0301,03.html</a>	
	05	MCKENDRICK, Joseph, "Embedded Databases Drive New Computing Model," Database Trends and Applications, vol. 16, no. 7, July 2002.	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute for form 1449A/PTO  <div style="text-align: center;"> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>   <i>(Use as many sheets as necessary)</i> </div>		<div style="text-align: center; border: 1px solid black; border-radius: 50%; padding: 5px; margin: 0 auto; width: 150px;">             OIPE JUL 25 2005 PATENT &amp; TRADEMARK OFFICE           </div> <div style="text-align: center; border: 1px solid black; padding: 5px; margin-top: 5px;"> <b>Complete if Known</b> </div> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>10/715,323</td> </tr> <tr> <td>Filing Date</td> <td>November 17, 2003</td> </tr> <tr> <td>First Named Inventor</td> <td>Yuandan Lou et al.</td> </tr> <tr> <td>Group Art Unit</td> <td>2673</td> </tr> <tr> <td>Examiner Name</td> <td>To be assigned</td> </tr> <tr> <td>Attorney Docket No.</td> <td>5200</td> </tr> </table>		Application Number	10/715,323	Filing Date	November 17, 2003	First Named Inventor	Yuandan Lou et al.	Group Art Unit	2673	Examiner Name	To be assigned	Attorney Docket No.	5200
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06	Oracle® Enterprise Manager Administrator's Guide, Release 9.0.1, Part no. A88767-02, July 2001.	
07	Oracle Enterprise Manager Database Management Feature Overview, 10 pages.	
08	Oracle9i Database Daily Feature, Oracle9i Database Configuration Assistant, pages 1-3, November 2003. <a href="http://otn.oracle.com/products/oracle9i/daily/sept12.html">http://otn.oracle.com/products/oracle9i/daily/sept12.html</a>	
09	International Search Report from International Application no. PCT/US2004/038687 dated April 15, 2005.	

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